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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--------------------|------------------------------|----------------------|-----------------------------|------------------|
| 09/964,129 | 09/25/2001 | Takeshi Ishizaki | 36992.00083 (HAL 187CIP) | 2009 |
| 23493 SUGHRUE M | 7590 03/09/2007 ION. PLLC | | EXAMINER | |
| 401 Castro Stre | eet, Ste 220 | | SHIN, KYUNG H | |
| Mountain Viev | v, CA 94041-2007 | | ART UNIT PAPER NUMBER | |
| | | | 2143 | |
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| | · | | MAIL DATE | DELIVERY MODE |
| | | | 03/09/2007 | PAPER |

Please find below and/or attached an Office communication concerning this application or proceeding.

| | Application No. | Applicant(s) | | | | | |
|---|--|--|--------------------------------|--|--|--|--|
| Intonsions Summons | 09/964,129 | ISHIZAKI, TAKESHI | | | | | |
| Interview Summary | Examiner | Art Unit | | | | | |
| | Kyung H. Shin | 2143 | | | | | |
| All participants (applicant, applicant's representative, PTO | personnel): | | | | | | |
| (1) Kyung H. Shin. | (3) <u>ISHIZAKI, TAKESHI</u> . | | | | | | |
| (2) <u>Pavel Pogodin, Reg# 48,205</u> . | (4) <u>Toshihiro Ezoe</u> . | • | | | | | |
| Date of Interview: 22 February 2007. | | | | | | | |
| Type: a)☐ Telephonic b)☐ Video Conference c)☑ Personal [copy given to: 1)☑ applicant 2)☑ applicant's representative] | | | | | | | |
| Exhibit shown or demonstration conducted: d)⊠ Yes e) No. If Yes, brief description: A diagram of Integrated Service Management System was shown by inventor, ISHIZAKI, TAKESHI during interview. | | | | | | | |
| Claim(s) discussed: <u>1</u> . | | | • | | | | |
| Identification of prior art discussed: Gonda(6662221), Blumenau(6665714). | | | | | | | |
| Agreement with respect to the claims f) \square was reached. g) \boxtimes was not reached. h) \square N/A. | | | | | | | |
| Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: <u>Applicant submitted a proposed amendment, which is included with this Interview summary.</u> Examiner considers the references cover each component (VPN, SERVER, STORAGE) but no single reference discloses all of the components in ISMS, same as the application. (A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims | | | | | | | |
| allowable is available, a summary thereof must be attached | | rodia render are | olaliiis | | | | |
| THE FORMAL WRITTEN REPLY TO THE LAST OFFICE A INTERVIEW. (See MPEP Section 713.04). If a reply to the GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW DATE, OR THE SUBSTANCE OF THE INTERVIEW ON reverse side or on attached sheet. | last Office action has already OF ONE MONTH OR THIRTY ERVIEW SUMMARY FORM, V | been filed, APP OAYS FROM T WHICHEVER IS | LICANT IS THIS LATER, TO | | | | |
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Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

Zyung A. Shin Examiner's signature, if required

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by
 attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does
 not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.



401 Castro Street Mountain View, CA 94041-2007 T 650.625.8100 F 650.625.8110

www.sughrue.com

 FAX

Date F

February 20, 2007

То

Examiner: Kyung H. SHIN

Of

Group Art Unit: 2143

Fax

(571) 273-3920

From

Pavel Pogodin, Reg. No. 48,205

Subject

Interview Agenda

Our Ref

CA1464

USSN

09/964,129

Pages

2 (including cover sheet)

Please call attention to problems with this transmission by return fax or telephone. Thank you.

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In re application of

Docket No: CA1464

Takeshi ISHIZAKI, et al.

Appln. No.: 09/964,129

Group Art Unit: 2143

Confirmation No.: 2009

Examiner: Kyung H. SHIN

Filed: September 25, 2001

For: INTEGRATED SERVICE MANAGEMENT SYSTEM

Dear Examiner,

Further to our Request for Interview dated February 15, 2007, attached is additional agenda items for our interview of February 22, 2007 at 2pm.

This fax filing includes:

1) Interview Agenda

1 page

Summary of differences between the invention and the prior art

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The prior art of record fails to teach or suggest at least:

- managing a correspondence between the VLAN-ID, server logical partition ID and storage assessable interface ID, as well as a management system managing the aforesaid correspondence; and
- 2. integrated management system managing the network configuration information, the server configuration information and the storage configuration information as a whole.

Proposed New Claim

A management system for managing resources to be coupled to a plurality of clients, the resources including a router, a server, and a plurality of storage volumes, the management system comprising:

a network management system which stores network configuration information including relationship between IP addresses of the clients and VLAN-IDs, and manages the router based on the network configuration information, wherein the router assigns corresponding VLAN-ID to a packet with IP address when sending the packet from one of the clients to the server;

a server management system which stores server configuration information including relationship between the VLAN-IDs, LPAR IDs and interface IDs to access at least one of the plurality of storage volumes, and manages the server based on the server configuration information, wherein the server has a plurality of logical partitions specified by the LPAR IDs which are associated with the interface IDs;

a storage management system which stores storage configuration information including relationship between the interface IDs and at least one of the plurality of storage volumes to be accessed, and manages the plurality of storage volumes based on the storage configuration information, wherein the plurality of storage volumes is access-controlled by using the interface IDs; and

an integrated management system coupled communicatively to the network management system, the server management system and the storage management system so that the integrated management system can manage the network configuration information, the server configuration information and the storage configuration information;

wherein the integrated management system determines configuration changes of the resources that need to be made in order to operate service with reference to the network configuration information, the server configuration information and the storage configuration information so that the integrated management system can manage configuration of the resources on per-service basis.